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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Mailing Label Number **EL867702002US**

Attorney Docket No. SJO9-2000-0201US1/IBM1P007

First Named Inventor:

Calvin LO

jc971 U.S. PRO
09/938458
08/23/01



UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents
Box Patent Application
Washington, DC 20231

 Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:
Calvin Lo, Robert G. Biskeborn, Annayya P. Deshpande, A.J. Torres, Kevin T. Luong, and Abel Taina

For: IMPROVEMENTS FOR THIN-FILM TAPE HEAD PROCESSES

Application Elements:

- 30 Pages of Specification, Claims and Abstract
- 13 Sheets of Drawings
- Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- 37 CFR 3.73(b) Statement by Assignee
- Information Disclosure Statement with Form PTO-1449
 - Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard
- Small Entity Statement(s)
- Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)
- Other:

Fee Calculation (37 CFR § 1.16)

| | (Col. 1) NO. FILED | (Col. 2) NO. EXTRA | SMALL ENTITY RATE | OR | LARGE ENTITY RATE | FEES |
|--|-----------------------|-----------------------|----------------------|----|----------------------|-------------------|
| BASIC FEE | | | \$355 | \$ | OR | \$710 \$ 710.00 |
| TOTAL CLAIMS | <u>35</u> | -20 = <u>15</u> | x09 = \$ | OR | x18 = \$ | \$ 270.00 |
| INDEP CLAIMS | <u>09</u> | -03 = <u>06</u> | x40 = \$ | OR | x80 = \$ | \$ 480.00 |
| [] Multiple Dependent Claim Presented | | | \$135 = \$ | OR | \$270 = \$ | \$ 00.00 |
| * If the difference in Col. 1 is less than zero, enter "0" in Col. 2. | | | Total \$ | OR | Total | <u>\$1,460.00</u> |

No check enclosed, please charge Deposit Account No. 09-0466 (Order No. SJO9-2000-0201US1/IBM1P007)

The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 09-0466 (Order No. SJO9-2000-0201US1/IBM1P007).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 09-0466 (Order No. SJO9-2000-0201US1/IBM1P007).

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Customer No.::

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Date: _____

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